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6147 1550 10/17/2011
GENERAL ELECTRIC COMPANY
GLOBAL RESEARCH
ONE RESEARCH CIRCLE
BLDG. K1-3A59
NISKAYUNA, NY 12309

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10781898	02/20/2004	Srinivas Bollapragada	141121-3	4222

TITLE OF INVENTION: SYSTEMS AND METHODS FOR INITIAL SAMPLING IN MULTI-OBJECTIVE PORTFOLIO ANALYSIS

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	EXCH. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$300	\$0	\$2040	01/17/2012

EXAMINER	ART UNIT	CLASSIFICATION
WONG, ERIC TAK WAI	3693	705-016008

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.333).

- ☐ Change of correspondence address for Change of Correspondence Address form PTO/SB/122 attached.
- ☐ "Fee Address" indication for "Fee Address" indication form PTO/SB/147; Rev 03-02 or more recent attached. Use of a Customer Number is required.

2. For printing on the patent first page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Scott J. Asmus
2
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE (CITY and STATE OR COUNTRY)

General Electric Company Niskayuna, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

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- ☐ A check is enclosed.
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- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 070868 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

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Authorized Signature /Scott J. Asmus/

Date January 17, 2012

Typed or printed name Scott J. Asmus

Registration No. 42,269

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